Form-Based Codes in New England Small Towns

Benefits, Challenges and Seven Key Strategies for Success

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Form Based Codes in New England Background

- Communities are often small
- All zoning at municipal level (limited county gov't)
- Zoning is often seen as a fiscal impact tool or sacred document
- Many communities operate under local town meeting
- Many communities do not have full-time planners
- Great local urbanism but zoning does not reflect NE
- Everything here takes longer to develop even in good times

New England Rules: The Challenges / Myths / Lessons

- New England towns can't afford to do a Form-based code
- New Englanders are too suspicious of a fast charrette
- New England small towns won't let outsiders lead their zoning
- Form-based codes are not allowed under my state enabling laws
- Form based codes work best in new developments and don't work here
- We can't throw out our traditional zoning
- Our current rules are fine . . . After all, we are getting good results

New England Challenges: The Myths

Political Challenges:

- There is a reactionary trend in our town that does not believe in change
- Our residents are suspicious of charrettes
- Form-based codes are not allowed under my state enabling laws

Addressing Design:

- We can't get consensus over design
- We can't give up our design review
- Our current rules are fine . . . After all, we are getting good results

Role of Consultants and RFPs:

• Our town won't let outsiders lead these efforts

Financing:

• We can't afford to do a Form-based code



Strategies for Success



Remember: FORM FOLLOWS REGULATIONS

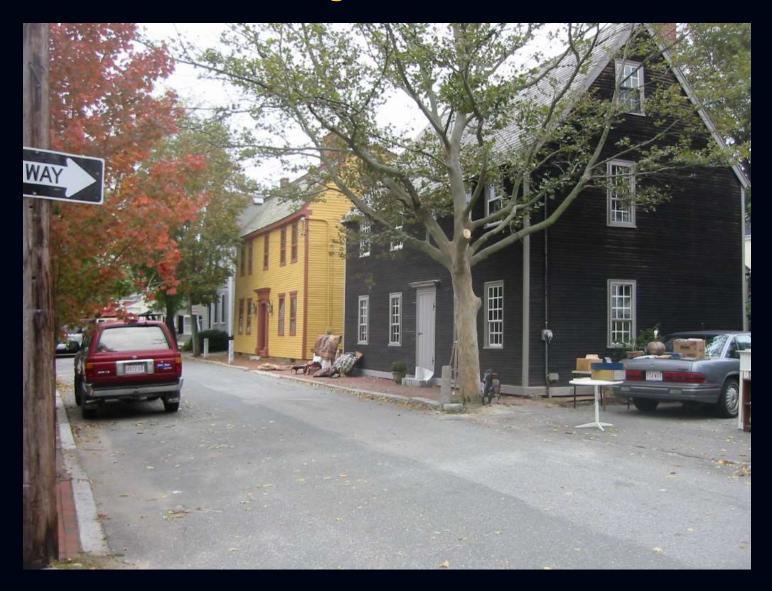










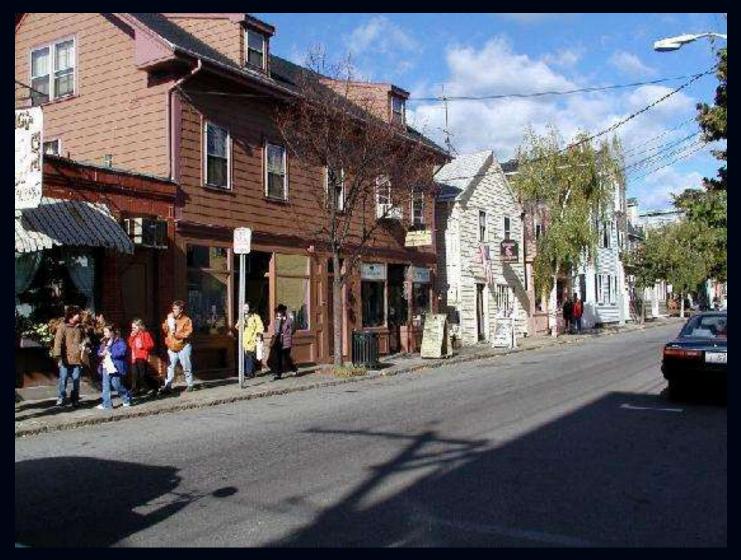




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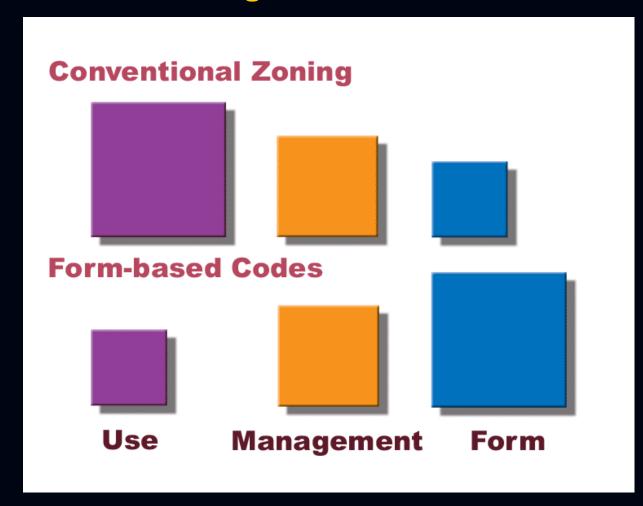




Form Based Codes in New England

- 19. Baths, Turkish
- 25. Boxing arena
- 28. Chinchillas, retail sales
- 41. Eleemosynary institutions
- 42. Embalming business
- 95. Physical culture institution
- 109. Potato chip manufacturing
- 127. Tombstones, retail sales
- 135. Turkish Baths

The Form Based Code: Where Regulation follows Form



Ferrell Madden Associates



SUCCESSFUL CODES CONNECT TO POWER GRIDS

The Appliance and The Grid



"We know what the appliance is

- Christopher Alexander

The Appliance and The Grid



"We know what the appliance is

Now we need to find the plugs to connect it to the existing power grids."

- Christopher Alexander

The Appliance and The Grid

- Villages, towns and cities
- Good designs
- High quality mixed use projects
- Transit-oriented development
- Smart growth
- New urbanism



- Town meeting
- Zoning Board
- Planning Board
- Conservation Commission
- Town Engineer
- Fire Chief
- State Regulators
- Etc.

"We know what the appliance is

Now we need to find the plugs to connect it to the existing power grids."

- Christopher Alexander

Politics and Design

- Charrettes can be customized
- Code projects can and must transcend the political spectrum
- State enabling laws rarely make it impossible to do what needs to be done
- The need for project-specific review can be locally calibrated
- More models are forming for FBCs in existing community fabric
- FBCs do cause responsible developers to select a community
- There is no substitute for local knowledge
- New finance strategies are emerging

Consultants, Proposals, and Finances

- Sources:
 - Grants
 - State funds
 - Sustainable Communities / Fed Funds
 - Local developers
 - Local funding
- Models . . . The consultant role
 - Designer for the planning phase
 - Public meeting coordinator
 - Stakeholder manager
 - Code writer
 - Advisor
- See model RFPs





Form Based Codes in New England Hamilton Canal District

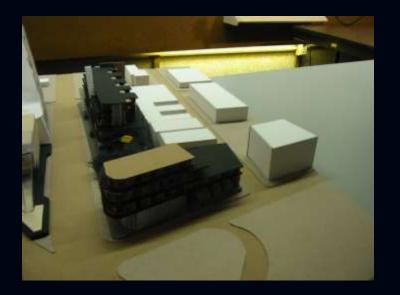


Form Based Codes in New England Hamilton Canal District

Site:	Brownfield, TOD adjacent to downtown
Size:	Community of 100K, 16 sq miles
Project:	New district development
Developed by:	Master Developer & City
Type of code:	Mandatory – Street Based
Project approval body:	HCD Review Group for FBC Historic Board for Arch. Review
Adopted:	February 24, 2009
Build-out to date:	First building under construction

Charrette

- Standard Charrette Phases:
 - Research, Education, and Preparation
 - Charrette
 - Plan Implementation





Form Based Codes in New England Hamilton Canal District Charrette

• NCI Charrette

- At least four consecutive days
- Allowing for at least three design feedback loops
- Open process including all interested parties
- Creates a feasible product with minimal work





Form Based Codes in New England Hamilton Canal District Charrette



Lowell Charrette

- Same preparation and implementation steps
- Four days of design and public participation spread over four months
- Feedback loops



Form Based Codes in New England Hamilton Canal District Charrette

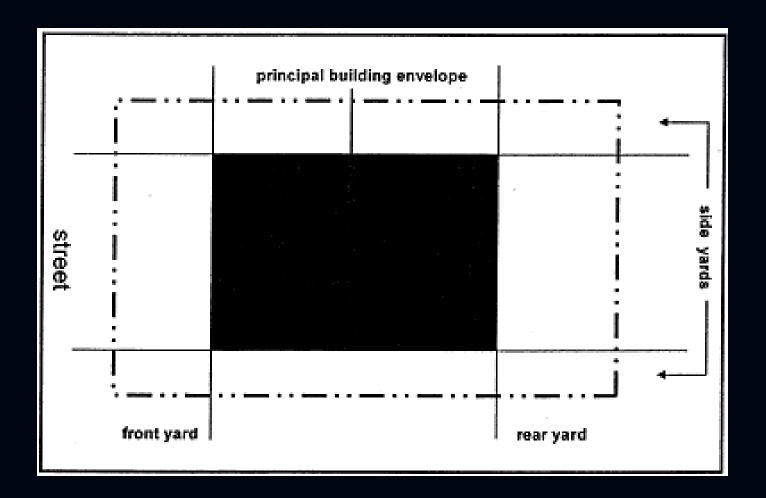
- Lowell Charrette
 - Months of outreach before first session
 - Early listening sessions
 - Cross functional collaborative team
 - Feasible outcome that is embedded in the code
 - Design as the basis for the shared vision
 - No opposition to FBC and universal political support for the plan





MEASURE WHAT MATTERS

Conventional Zoning: Setbacks









RESIDENTIAL SYNOPTIC SURVEY

OFFICE OF STRATEGIC PLANNING AND COMMUNITY DEVELOPMENT JOSEPH & CURTATIONE, MAY OR

Somerville, MA (Inman Square)

17-19 LEWIS ST

ELEVATION



LOT PATTERN

FRONTAGE



LOT/BUILDING INFO

)T/BU	ILDING INFO	
	Lot Width	41' 7"
	Lot Depth	
	Lot Area	
	Number of Buildings	1
Pri	incipal Building Height (Stories)	3 Stories
	Principal Building Width	24' 4"
	Principal Building Depth	45' 4"
	Principal Building Disposition	Edgeyard
	First Floor Above Grade	4'9'
	Actual Front Setback	13' 9"
	Actual Left Side Setback	8'9"
	Actual Right Side Setback	8' 8"
	Lot Coverage	
	Additions?	
	Number of Units	6
	Parking Location (Access)	Side (Driveway)
	Number of Spaces	
	Roof Type	Flat
	FRONT ENCROACHMENT TYPE/CO	187H
12	Door Canopy	
	Door Surround	
	Stoop	
Ο.	Portico	
	Porch (3/4)	8'5"
	Bay	3 ft.



STREETSCAPE



THOROUGHFARE INFO

Adjacent Public Frontage Width	6' 4"
Sidewalk	
Furnishing Zone	3'7"
Planting Technique	
Tree Sequence	
Movement Lanes/Width	
Parking Lanes/Width	2x 7.ft.
Traffic Flow	0
Total Laneway Width	26' 4"
Total Right of Way Width	

Somerville, MA (Central Hill)

7 BERKLEY ST

ELEVATION



LOT PATTERN

FRONTAGE



LOT/BUILDING INFO

1/150	ILDING INFO	
	Lot Width	34' 6"
	Lot Depth	
	Lot Area	
	Number of Buildings	1
Pr	incipal Building Height (Stories)	2.5 Stories
	Principal Building Width	20'5"
	Principal Building Depth	46 ft.
	Principal Building Disposition	Sideyard
	First Floor Above Grade	42"
	Actual Front Setback	21'5"
	Actual Left Side Setback	0
	Actual Right Side Setback	13' 6"
	Lot Coverage	
	Additions?	
	Number of Units	2
	Parking Location (Access)	Rear Garage (Driveway)
	Number of Spaces	2
	Roof Type	Pitched - FrontGable
	FRONT ENCROACHMENT TYPECO	384H
Ċ.	Door Canopy	
D.	Door Surround	
0	Stoop	
	Portico	6 社
	Porch	
G,	Bay	

DETAILS



STREETSCAPE



THOROUGHFARE INFO

Adjacent Public Frontage Width	6 ft.
Sidewalk	3 ft.
Furnishing Zone	3.ft.
Planting Technique	Tree Pit
Tree Sequence	17'6" o.c.
Movement Lanes/Width	ta 12 ft.
Parking Lanes/Width	2x 7.ft.
Traffic Flow	Vield
Total Laneway Width	26 ft.
Total Right of Way Width	38 h.

Somerville, MA (North Davis)

27 WALLACE

ELEVATION



LOT PATTERN





LOT/BUILDING INFO

01/801	LDING INFO	
	Lat Width	59' 7"
	Lot Depth	
	Lot Area	53 2010
	Number of Buildings	1
Pr	ncipal Building Height (Stories)	1.5 Stories
	Principal Building Width	20' 5"
	Principal Building Depth	
	Principal Building Disposition	Edgeyard
	First Floor Above Grade	3' 10"
	Actual Front Setback	19.5"
	Actual Left Side Setback	21 ft.
	Actual Right Side Setback	18' 7"
	Lot Coverage	3
	Additions7	
	Number of Units	
	Parking Location (Access)	Attached Garage (Driveway)
	Number of Spaces	2
	Roof Type	Pitched - Mansard
1	FRONT ENCHORCHMENT THREES	DEPTH
12	Door Canopy	
	Door Surround	
	Stoop	
	Portico	5 c
	Porch (1/2)	7' 4"
	Bay	31

DETAILS



STREETSCAPE



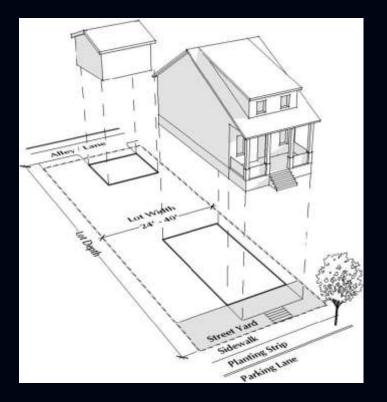
THOROUGHFARE INFO

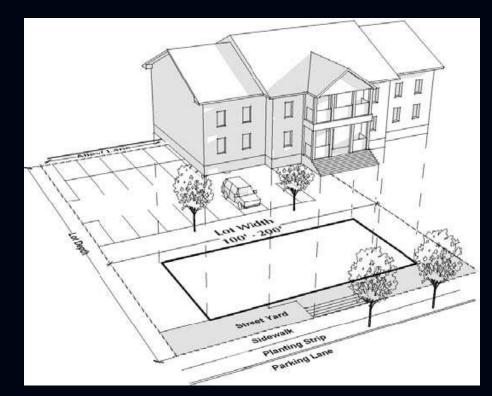
Adjacent Public Frontage Width	6' 10*
Sidewalk	3 ft.
Fumishing Zone	
Planting Technique	Tree Pit
Tree Sequence	
Movement Lanes/Width	
Parking Lanes/Width	2x 7 ft.
Traffic Flow	One Way
Total Laneway Width	
Total Right of Way Width	26' 4"



GET THE BASICS RIGHT

BUILDING TYPES





COTTAGE

APARTMENT BUILDING

TABLE 3.1 Buildings Types





Apartment Building

A large floor plate, multi-story, residential building type with more than six dwelling units.

Row Houses

A moderate to large floor plate, residential building type consisting of three (3) to ten (10) side by side dwelling units.



Shop House

A house building type with the ground story converted for commercial use and the residential appearance of upper stories maintained.



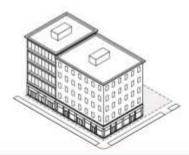
Neighborhood Store

A moderate floor plate, single story building type designed for commercial purposes.



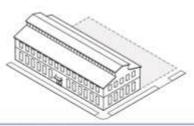
Mixed-Use Building

A multi-story building type with ground floor commercial and upper story residential uses with six or more dwelling units.



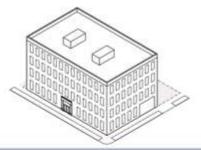
Commercial Building

A multi-story building type limited to commercial uses.



Production Building

A moderate to large floor plate, up to two story building type, often naturally lit with a monitor, clerestory, or sawtooth roof.



Fabrication Loft

A moderate to large floor plate, multi-story building type subdivided for multiple tenants, often designed with tall ceilings, expansive windows, wide corridors, and service elevators. Principal Building Types

20% min. 50% max.

20% min. 50% max.

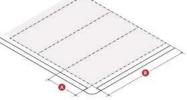
1/ Dwelling Unit

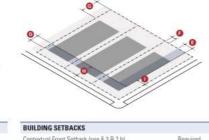
2. HOUSE

A moderate floor plate, detached, residential building type with up to two vertically stacked dwelling units.

a. Lot Standards

b. Placement





	LOT DIMENSIONS		-
8	Width (min)	32 feet	
D	Depth (min)	BD feet	
	LOT COVERAGE		_
	Permeable Surface (min)	35%	
	Landscape (min)	25%	

BUILDING SETBACKS			
Contextual Front Setback (see §.3	B.2.b) Required		
Primary Front Setback (min/max)	10 feet 20	feet	
Secondary Front Setback (min/ma	10 feet 20	feel	
Side Setback (min)	5 feet		
Rear Setback (min)	20 feet	20 feet	
PARKING SETBACKS		_	
Primary Front Setback (min)	20 feet		
Secondary Front Setback (min)	10 feet		

HOUSE (cont.)

A moderate floor plate, detached, residential building type with up to two vertically stacked dwelling units.

See 53.D.4

See §3.D.5

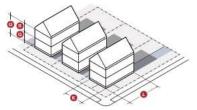
See \$3.0.6

See §3.D.8 See §3.D.9 See §3.0.10

See \$3.D.11

c. Height & Massing

d. Uses & Features





	MAIN BODY
3	Facade Build Out (min)
R	Width (min/max)
D	Depth (min/max)
N	Building Height (max)
0	Story Height (min/max)
	First Floor Elevation (min)

DEDAUTTED DUU DING COMPONITIES

PERMITTED BUILDING COMPONENTS	
Awning	
Entry Canopy	
Bay	
Balcony	
Deck	
Dormer Window	
Cross Gable	
Side Wing	

			FACADE COMPOSITION
50	1%	0	Ground Story Fenestration (min/max)
22' min.	28° max.	0	Upper Story Fenestration (min/max)
28' min.	48' max.		
2.5 storie	es (28 ft.)		PERMITTED BUILDING FRONTAGE
9 ft.	12 性		Stoop
2	ft.		Portico
			Porch, Projecting
			Porch, Engaged
See §	i3.D.2		Sector and the sector of the s
See 9	i3.D.3		USE & OCCUPANCY

Outdoor Amenity Space (min)

PERMITTED BUILDING FRONTAGE	(1 required)
Stoop	See §3.E.2
Portico	See §3.E.3
Porch, Projecting	See \$3.E.4
Porch, Engaged	See \$3.E.5
USE & OCCUPANCY	
Use Category	Residential
Dwelling Units (max)	2

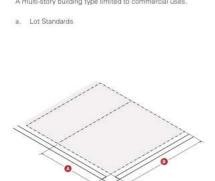
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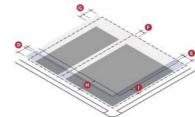
Rear Addition

Principal Building Types

15. COMMERCIAL BUILDING

A multi-story building type limited to commercial uses.



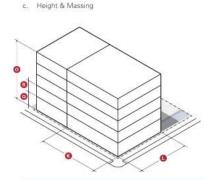


b. Placement

30 ft.	150 ft.
30 ft.	200 ft.
-48	1 ft
10	0 ft.
	-
20,00	0 sq. ft.
28,00	0 sq. ft.
40,00	0 sq. ft.
45,00	0 sq. ft.
1	0%
	40 10 20,00 28,00 40,00 45,00

	BUILDING SETBACKS		
	Contextual Front Setback (see §.3.B.2.b)	Required	
)	Primary & Secondary Front Setback		
	3MU & 4MU (min/max)	2 feet	12.fee
	SMU - 10MU (min/max)	2 feet	15 fee
	FAB & CI (min/max)	2 feet	12 fee
)	Side Setback (min)	0	ft.
	Side Setback Abutting NR (min)	5 ft.	
)	Rear Setback (min)	10 ft.	
	Rear Setback Abutting NR (min)	15	ift.
1	PARKING SETBACKS		
)	Primary Front Setback (min)		e)
	3MU & FAB	20	feet
	4MU - 10MU, CI	30	feet
	Secondary Front Setback (min)		
	Surface Parking	10	feet
	Structured Parking	21	eet
	3MU - 5MU, FAB, CI	21	eet
	7MU & 10MU	30	feet

COMMERCIAL Building (cont.) A multi-story building type limited to commercial uses.



B0%

15,000 sq. ft.

22,000 sq. ft.

30,000 sq. ft.

36,000 sq. ft.

20,000 sq. ft.

2 stories

3 stories (45 ft.)

4 stories (55 ft.) 5 stories (70 ft.)

7 stories (100 ft.)

10 stories (135 ft.)

-

12 ft.

14 ft.

9 ft.

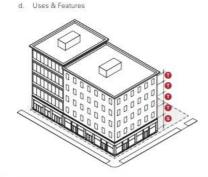
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.



PERMITTED BUILDING COMPONENTS

Awning	See \$3.D.2
Entry Canopy	See §3.D.3
Bay	See \$3.D.4
Balcony	See \$3.D.5



FACADE COMPOSITION		
Ground Story Fenestration (min)	-	
3MU, 4MU, FAB, & CI	60%	
5MU - 10MU	70%	
Upper Story Fenestration (min/max)	20% min 50% max.	
Blank Wall (max)	20 ft.	
PERMITTED BUILDING FRONTAGE	(1 required)	
Farecourt	See §3.E.7	
Lobby Entrance	See \$3.E.8	
Storefront	See \$3.E.9	
Terrace	See §3.E.10	

PEDESTRIAN ACCESS	
Principal Entrance Spacing (min)	30 ft.
USE & OCCUPANCY	
Tenant Space Depth (min)	3
3MU & FAB	20 ft.
4MU - 10MU, CI	30 ft.
Permitted Use	See Article 5: Use Provisions

DRAFT January 22, 2015



UP FRONT EXPENSE = LONG-TERM SUCCESS

Form Based Code: Livermore



Development Code City of Livermore, CA



2011 Driehaus Form-Based Codes Award

Winner

From Mall to Neighborhood Main Street

12

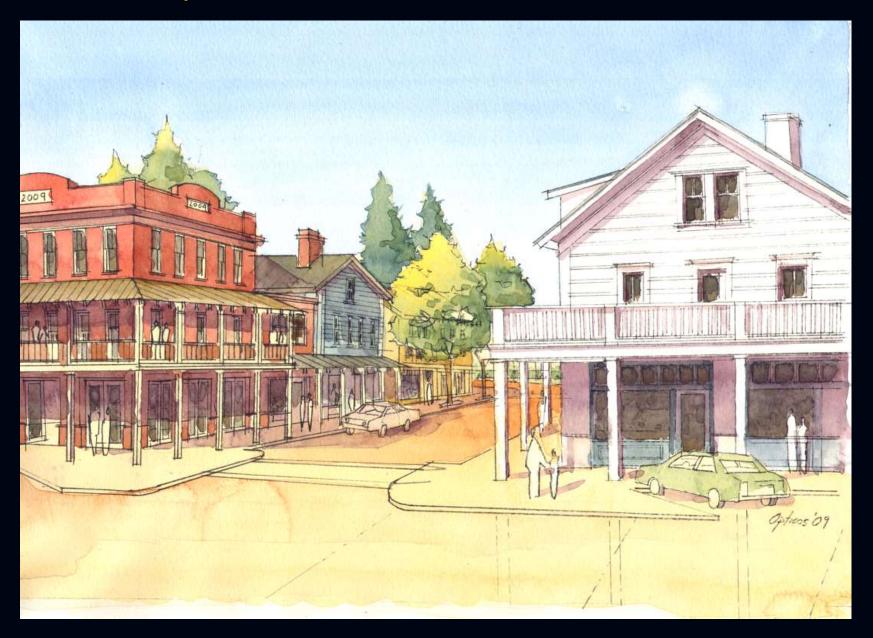






Neighborhoods

Illustrate Specific Build Out to Get Consensus







Livermore Development Code: Hybrid Model

Tan	T4N	T4N-0
T3-Neighborhood	T4-Neighborhood	T4-Neighborhood-Open
Desired Form	Desired Form	Desired Form
	Desired Form	Desired Form
	Residential	Residential
Residential Intent	0.0000000000000000000000000000000000000	
Residential	Residential	Residential Intent The primary intent of this zone is to provide an appropriate transition from a neighborhood main street



T3, T4 modified zones are established in the code . . . for now

Livermore Development Code: Hybrid Model

hapter 3	.01: Establishment and Designation of Zones	3-3
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3.03.060	Multiple Family Residential (MFR) Zone	3-4
3.03.070	Suburban Multiple Residential (RG) Zone	3-4
3.03.080	Commercial Service (CS) Zone	3-4

Transect Zones have their own development regulation section

Transect Zones

3.02.130 T6 Urban Core

3.02.120 T5 Urban Center

Reserved

3.02.130 T6 Urban Core

Reserved

Livermore Development Code

3-33

Room for expansion

Chapter 3.03: Non-Transect Zones

Sections:	
3.03.010	Purpose
3.03.020	Applicability
3.03.030	Residential Rural (R-R) Zone
3.03.040	Suburban Residential (R-S) Zone
3.03.050	Residential Low Density (R-L) Zone
3.03.060	Multiple Family Residential (MFR) Zone
3.03.070	Suburban Multiple Residential (RG) Zone
3.03.080	Commercial Service (CS) Zone
3.03.090	Highway Service Commercial (CHS) Zone
3.03.100	Neighborhood Business Commercial (CNB) Zone
3.03.110	Commercial Office (CO) Zone
3.03.120	Professional Office (CP) Zone
3.03.130	Research and Development (I-1) Zone
3.03.140	Light Industrial (I-2) Zone
3.03.150	Heavy Industrial (H-I) Zone
3.03.160	Education and Institution (E) Zone
3,03,170	Open Space (OS) Zone

- 3.03.180 Airport (AIR) Zone
- Subtroo Parpore (Party Lone

3.03.010 Purpose

This chapter provides regulatory standards governing land use and building form within the Non-Transactbased zoning areas. The Code is a reflection of the community vision for implementing the intent of the General Plan. These standards are intended to ensure that proposed development is compatible with existing and future development on neighboring properties, and produces an environment of desirable character, consistent with the General Plan and any applicable specific plan.

3.03.020 Applicability

The requirements of this Chapter shall apply to all proposed development within Non-Transact-based zones, and shall be considered in combination with the standards for the applicable zone in Part 4 (General to Zonei) and those in Part 6 (Specific to Usas). If there is a conflict between any standards, the provisions of Part 3 (Specific to Zones) control over Part 4 and the provisions of Part 6 control over Parts 3 and 4.

Livermore Development Code

3-35

Non-Transect Zones

3.03.140

45' max

20.000 ef

45%

*The height may be increased up to a maximum of 100"

Site Plan and Design Review is required prior to the

3.03.140 Light Industrial (I-2) Zone

A. Purpose

Major streets

The I-2 (Light Industrial) zone is applied to areas of the City that are appropriate for professional and administrative facilities, research institutions, manufacturing operations, and green technology facilities not proposed to be located in a "campus" type environment. It is intended to provide an optimum general industrial environment by providing an alternate choice for industrial landwise that are compatible with adjacent residential uses and buffered from them.

B. Building Placement Requirements Setback¹² Front and Sida Streets

All Other Streets 25' min Rear Lots adjacent to R zone 25' min. All Others 3 No minimum Side 25' min. Lots adjacent to 8 zone All Others¹ No minimum All required setbacks adjacent to streets shall be landscaped, except for driveways and sidewalks that are found to be necessary for the efficient use of the property. Where a building front is visible from the

development of any site, including the construction of any structure and the establishment of any open land use 25' min. (Section 9.07). No use shall be permitted that creates vibration, heat, 25' min. glare or electrical disturbance beyond the boundaries of the site. 25' min. No minimum ostrates shall be and sidewalks that afficient use of the a visible from the

C. Building Form Ro

with a Conditional Use Permit.

See Chapter 4.04 (Parking Standards).

D. Parking Requirements

F. Miscellaneous Requiren

E. Lot Requirements

Minimum Lot Size

Building Height

Lot Coverage

property. Where a building front is visible from the streat, a minimum fire-foot wide landscape strip abutting the foundation shall be included, allowing for necessary entrances. "A landscaped strip of land, at least 25' wide, shall be

maintained along any property line where a I-2 zone abuts a residential area.

*20' min. rear and side setbacks for structures with height greater than 40'.

Livermore Development Code

The rest of the code is separate

Darate

Part 4: General to Zones

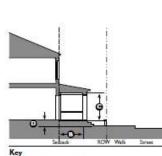
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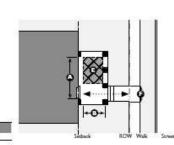
General development standards identify those that apply in transect zones and those that apply in non-transect zones

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4.03.030





Frontage Standards

---- ROW / Property Line

Setback Line

4.03.030 Porch: Pr Description The main facade of the building typically has a small-tomedium setback from the property line. The resulting front yard is typically very small and may or may not be

defined by a fence or hedge to spatially maintain the edge of the street. The projecting porch is open on three sides and has a roof form that is separate from the main house.

Size		
Width, clear	10' min.	0
Depth, clear	8' min.	0
Height, dear	8' min.	G
Finish level above sidewalk	18" min.	0
Furniture area, clear	4' x 9' min.	0
Path of travel	3' wide min.	0

Miscellaneous

Porch may be one or two stortes.

Projecting porches are open on three sides and must have a monf





Partial-length projecting porch with stairs parallel to street

4-28

Livermore Development Code

For example . . . Frontage standards identifying porch, stoop, forecourt, shopfront and gallery apply in transect zones

5.01.070

Building Types

Building Types

5.01.070

5.01.070 Duplex, Stacked

General Note: the drawings and photos below are illustrative.



The entry to the right cleans to a stat/ kading to the upper

The entry is the right opens is a star reading to the appen unit, which takes up the entire upper floor. The door to the left opens directly into the lower unit, which takes up the entire lower floor.

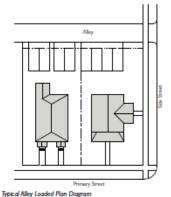
A. Description

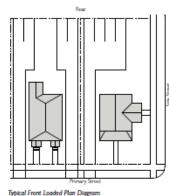
This Duplex building type consists of structures that contain two units, one on top of the other. This building type has the appearance of a medium to large singlefamily home. This type is typically integrated sparingly into single-family neighborhoods or more consistently into neighborhoods with other medium-density types such as burgelow courts, fourplexes, or courtyard apartments. This building type enables the incorporation of high-quality, well-designed density within a walkable neighborhood.

This is the preferred type of duplex on 50° wide lots in Livermore neighborhoods not zoned for single-family because it is capable of accommodating two units in a smaller footprint, thus maximizing compatibility in size and privacy to the rear of adjacent units.



The scale of this duplex makes it compatible with adjacent single-family homes.





Key

--- ROW / Property Line Building Area

B. Lot		F. Open Space, Usable	
Lot Size		Width	15/Junit min.
Width	50' min., 75' max.	Depth	15/unit min.
Depth	100' min., 150' max.	Open Space Area	300 sf min.
C. Pedestrian Access		Required street setbacks and driveways shall not be	
Main Entrance Location	Primary street	included in the open space area calculation.	
On corner lots each unit shall front a different street.		G. Building Size and Massing	
D. Frontages		Main Body	
Allowed Frontages		Width	36' max.
Porch		Secondary Wing	
Stoop		Width	24' max.
E.Vehicle Access and Parking		Detached Garage	
Parking spaces may be enclosed, covered, or open.		Width	36' max.
		Depth	25' max.
		H. Miscellaneous	
		Both units shall have entries facing the street no m	

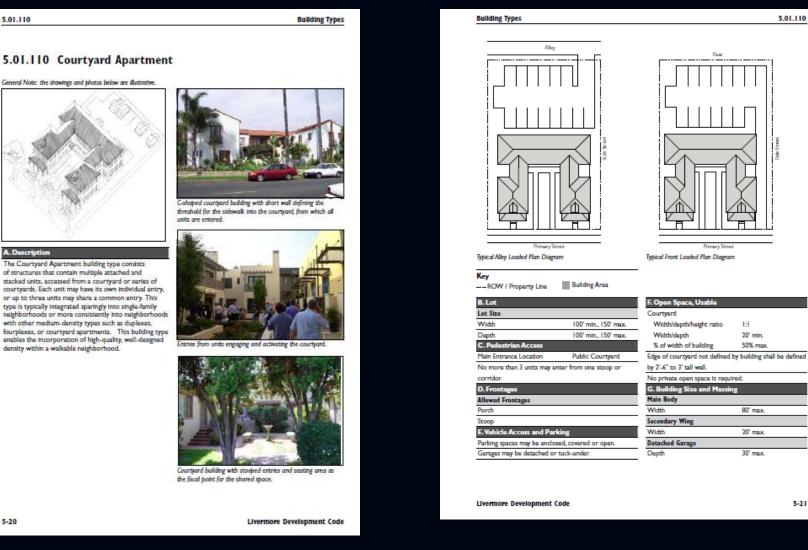
than 10' behind, the front façade.

Livermore Development Code

Building types established for transect zones

Livermore Development Code

5-12



Building types established for transect zones

5-20

5.01.110

5-21

5.01.110

imary Street

L:L

20' min.

50% max

90' max.

30' max

30' max



GOOD CODES=

GREAT RESULTS

"It shortens the review time for projects and gives developers a better idea of what the city requires"

Mike Moore, City of Petaluma, CA

"(Form Based Codes) will provide a consistency of how neighboring properties will be improved."

Michael Arendt, Luther Burbank Savings Bank

"The easiest way to facilitate . . . projects is for municipalities to conduct and complete the environmental review, establish the desired land use, proscribe design guidelines and expedite the application review."

Frank Denney, Home Builders Assoc. of Northern CA

"We can't tell if the (form-based code) is a radical, green left-wing document or a developerfriendly, market based right-wing one"

Jose Sanchez, Santa Rosa Press-Democrat

The Form Based Code Achieving Outcomes

The Form Based Code

- A tool to achieve a desired outcome
- One of a number of tools
- One of the more integrated tools

The Form Based Code

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- One of the more integrated tools
- Benefits to the community
 - Codes the outcome of a planning process
 - Helps the community understand outcomes in physical form
 - Facilitates high-value development in areas where feasible

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 - Codes the outcome of a planning process
 - Helps the community understand outcomes in physical form
 - Facilitates high-value development in areas where feasible
- Benefits to property owners:
 - Certainty on development options
 - Development ideas tested in the planning process
 - Confidence that neighbors will be held to same high standards



READ MORE »



(Re)coding communities for smart growth

There's a secret weapon available to communities that want to modernize their zoning codes and help make smarter growth the norm.

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